PTC/SB/08a (08-03)
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Substitute for form 1449A/PTO

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(Use as many sheets as necessary)

6 of Sheet 1

Complete if Known						
Application Number 10/689,174						
Filing Date	10/20/2003					
First Named Inventor	STRICKLAND, Roger et al.					
Art Unit	3743					
Examiner Name	Mitchell, Teena Kay					
Attorney Docket Number	BECK-0001-US					

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Then		US- 5,271,391	12/21/1993	Graves	<u> </u>
TKM		U\$- 5,533,506	7/9/1996	Wood	
1Km		US- 4,367,735	1/11/1983	Dali	
Then		US- 4,406,283	9/27/1983	Bir	
Then		US- 4,774,946	11/4/1988	Ackerman et al.	
TKM		US- 5,188,101	2/23/1993	Tumolo	
TKM		US- 5,375,593	12/27/1994	Press	
Thu		US- 5,526,806	1/18/1996	Sansoni	
TKIN		US- 5,682,881	11/4/1997	Winthrop et al.	
Then		US- D333,015	2/2/1993	Farmer et al.	
Then		US- 3,670,726	3/20/1972	Mahon et al.	
Thin		US- 3,739,774	6/19/1973	Gregory	
Then		US- 3,861,385	1/21/1975	Carden	
TRM		US- 3,902,486	9/2/1975	Guichard	
Khu		US- 3,905,361	9/16/1975	Hewson et al.	
Thin		US- 4,267,845	5/19/1981	Robertson, Jr. et al.	
Thin		US- 4,312,359	1/26/1982	Olson	
Thun		US- 4,493,614	1/15/1985	Chu et al.	
TKN		US- 4,549,542	11/29/1985	Chien	
Thu		US- 4,601,465	7/22/1986	Roy	\

		FOREIG	N PATENT DOCU	IMENTS		
		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-OD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰
1Km		GB0532214	1/20/1941			
TKM		DE 146688	2/25/1981	Figures		
Thu		93309991.3	6/21/1995	3		
Than		WO 01/97892 A1	6/18/2000			

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
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Substit	Substitute for form 1449B/PTO			Complete if Known		
00000				Application Number	10/689,174	
INF	FORMATION DIS	SCLOS	URE	Filing Date	10/20/2003	
ST	STATEMENT BY APPLICANT			First Named Inventor	STRICKLAND, Roger et al.	
				Art Unit	3743	
	(Use as many sheets as necessary)			Examiner Name	Mitchell, Teena Kay	
Sheet	2	of	6	Attorney Docket Number	BECK-0001-US	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
(kn		SNAPP NASAL INTERFACE, Tiara Medical Systems, Inc. http://www.tiaramed.com/asp_shop/shopdisplayproducts.asp?id=109&cat=SNAPP%2A+Nasal+Interface, viewed 5/17/05					
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Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached.

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e	bstitute for form 1449A/PTO			Complete if Known		
30	Dating in Intil 1442ALIO			Application Number	10/689,174	
1	NFORMATION DISC	LOS	URE	Filing Date	10/20/2003	
5	STATEMENT BY API	PLIC	ANT	First Named Inventor	STRICKLAND, Roger et al.	
	(Use as many sheets as nece	essarv)		Art Unit	3743	
	(030 to many sinces to need			Examiner Name	Mitchell, Teena Kay	
Sheet	3	of	6	Attorney Docket Number	BECK-0001-US	

	U.S. PATENT DOCUMENTS								
	0'1-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Examiner Initials*	Cite No. ¹	Number - Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
TKIN		US- 5,038,772	8/13/1991	Kolbe et al.	Λ				
TKM		US- 5,074,297	12/24/1991	Venegas					
TAM		US- 5,121,745	6/16/1992	Isreal					
TKM		US- 5,127,397	7/7/1992	Kohnke					
Then		US- 5,265,592	11/30/1993	Beaussant					
Thu		US- 5,265,595	11/30/1993	Rudolph					
Thu		US- 5,299,599	5/5/1994	Farmer et al.					
Then		US- 5,372,130	12/13/1994	Stem et al.					
iku		US- 5,385,141	1/31/1995	Granatiero					
1km		US- 5,394,568	3/7/1995	Brostrom et al.	V				
TKIN		US- 5,396,885	3/14/1995	Nelson	<u> </u>				
THM		US- 5,398,676	3/25/1995	Press et al.					
TKW		US- 4,422,456	12/27/1983	Teip					
TKW		US- 4,587,967	5/13/1986	Chu et al.					
TKM		US- 4,617,637	11/14/1986	Chu et al.					
Then		US- 4,699,139	10/13/1987	Marshall et al.					
1KM		US- 4,706,664	11/17/1987	Snook et al.					
Then		US- 4,753,233	6/28/1988	Grimes					
Thur		US- 4,899,740	2/13/1990	Napolitano	\/				
1Km	1	US- 4,915,105	4/10/1990	Lee					

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Examiner Initials*		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Π			
	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1			
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Examiner

Signature

Date

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Translation is attached.

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Su	bstitute for form 1449A/PTO			Complete if Known		
				Application Number	10/689,174	
	NFORMATION DI			Filing Date	10/20/2003	
5	STATEMENT BY A	APPLIC/	ANT	First Named Inventor	STRICKLAND, Roger et al.	
	(Use as many sheets as	necessary)		Art Unit	3743	
				Examiner Name	Mitchell, Teena Kay	
Sheet	4	of	6	Attorney Docket Number	BECK-0001-US	

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
Thu	 	US- 5,000,173	3/19/1991	Zalkin et al.					
TKM	<u> </u>	US- 5,022,900	6/11/1991	Bar-Yona et al.					
TKIN		US- 5,025,805	6/25/1991	Nutter					
Thin		US- 5,113,857	5/19/1992	Dickerman et al.					
TKM		US- 5,137,017	8/11/1992	Satter					
TKON		US- 5,335,658	8/9/1994	Bowe et al.					
IKW		US- 5,376,593	12/27/1994	Press					
INV		US- 5,400,776	3/28/1995	Bartholomew					
TKM		US- 5,425,359	6/20/1995	Liou					
TKM		US- 5,437,267	8/1/1995	Weinstein et al.					
Thu		US- 5,509,409	4/23/1998	Weatherholt					
THIN		US- 5,740,799	4/21/1998	Nielson					
TKM		US- 5,794,619	8/18/1998	Edelman et al.					
TKM		US- 5,906,203	5/25/1999	Klockseth et al.					
TKM		US- 4,387,816	1/11/1983	Wilkes					
Thur		US- 6,768,800	7/27/2004	Chu et al.	1 /				
Thun		US- 5,954,049	9/21/1999	Foley et al.					
The		US- 4,273,124	6/16/1981	Zimmerman	T /				
Thur		US- 5,355,893	10/18/1994	Mick et al.	1/				
Then		US- 1,125,542	1/19/1915	Humphries	V				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۲,		
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Sui	Substitute for form 1449A/PTO			Complete if Known		
	ostitute for form 1445747 10			Application Number	10/689,174	
11	NFORMATION DISC	LOS	URE	Filing Date	10/20/2003	
5	STATEMENT BY APP	LIC	ANT	First Named Inventor	STRICKLAND, Roger et al.	
	(Use as many sheets as nece	ssarv)		Art Unit	3743	
	loge as many streets as recessory,			Examiner Name	Mitchell, Teena Kay	
Sheet	5	of	6	Attorney Docket Number	BECK-0001-US	

			U.S. PATENT	DOCUMENTS	
	0:4-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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TKIM	<u> </u>	US- 6,679,265	1/20/2004	Strickland et al.	Λ
Thu		US- 6,561,193	5/13/2003	Noble	
Thu	[US- 6,561,188	5/13/2003	Ellis	
TKIM		US- 6,595,215	7/22/2003	Wood	
TKM		US- 6,637,434	10/28/2003	Noble	
Thu		US- 6,655,385	12/2/2003	Curti et al.	
TKM		US- 6,571,798	6/3/2003	Thornton, Keith	
Thm		US- 2002/0046755	Apr-02	DeVoss	
Thm		US-2002/0124849	Sep-02	Billette de Villemeur	
Then		US- 5,269,296	12/14/1993	Landis	
YRM		US- 4,996,983	3/5/1991	AmRhein	
TRU		US- 5,048,491	9/10/1991	Derrick	
Thu		US- 4,660,555	4/28/1987	Payton	
Then		US- 4,156,426	5/29/1979	Gold	
TKIL	T	US-2005/0028822	Feb-05	Sleeper et al.	
THIM		US-2005/0051176	Mar-05	Riggins	
TKM		US-2005/0081326	Mar-05	Payne, JR.	
1KW		US-2005/0033247	Feb-05	Thompson	
TKM		US-5,724,965	03/10/1998	Handke et al.	
Thin]	US-5,687,715	11/18/1997	Landis et al.	V

		FOREIG	N PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7
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6	Substitute for form 1449A/PTO			Complete if Known		
31				Application Number	10/689,174	
	INFORMATION DIS	SCLO	SURE	Filing Date	10/20/2003	
•	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	STRICKLAND, Roger et al.	
				Art Unit	3743	
				Examiner Name	Mitchell, Teena Kay	
Sheet	6	0	f 6	Attorney Docket Number	BECK-0001-US	

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number - Kind Code ^{2 (Fknown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Then		US- 5,477,852	12/26/1995	Landis et al.	
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the appropriate symbols as indicated on the document under WIPO Standard S1. To it possible. Applicant is to piece a crieck mark rate in Crigist language Translation is attached.

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